



2nd Open Meeting of the SuperKEKB Collaboration KEK, March 17–19, 2009

The importance of $|V_{ub}|$

- |V_{ub}| determined by tree-level decays
 Crucial for comparing tree-dominated and loop-mediated processes
- $|V_{ub}|_{\pi\ell\bar{\nu}-LQCD} = (3.5 \pm 0.5) \times 10^{-3}$ $|V_{ub}|_{incl-BLNP} = (4.32 \pm 0.35) \times 10^{-3}$ $|V_{ub}|_{\tau\nu} = (5.2 \pm 0.5 \pm 0.4_{f_B}) \times 10^{-3}$ F SM CKM fit, sin $2\phi_1$, favors small value
- Fluctuation, bad theory, new physics?
- The level of agreement between the measurements often misinterpreted



• The question has been who sees NP first; once it's seen, how to understand it?





Main reason (for me) to continue

- 1-CI Overconstraining ("redundant") measure-3 0.9 ments are crucial to bound new physics PCP 2007 2.5 0.8 Parameterization of NP in $B^0 - \overline{B}^0$ mixing: 0.7 2 0.6 $M_{12} = M_{12}^{\rm SM} \left(1 + h_d e^{2i\sigma_d}\right)$ р 0.5 1.5 Non-SM terms not yet bound to be << SM 0.4 1 0.3 What we really ask: is $\Lambda_{\text{flavor}} \gg \Lambda_{\text{EWSB}}$? 0.2 0.5 Need lot more data to determine whether 0.1 NP \ll SM (unless $\sigma_d = 0 \mod \pi/2$) 0 0.5 1.5 2.5 3 1 2 h_d
- 10-20% non-SM contributions to most loop-mediated transitions are still possible \Rightarrow In my mind building a Super-*B*-factory is clearly justified!







- Introduction to past inclusive analyses
- Complete description of $B \to X_s \gamma$

[ZL, Stewart, Tackmann, arXiv:0807.1926]

- Complete description of $B \to X_u \ell \bar{\nu}$
- A glimpse at SIMBA

[ZL, Stewart, Tackmann, to appear]

[Bernlochner, Lacker, ZL, Stewart, Tackmann, Tackmann, to appear]

Outlook





The challenge of inclusive $|V_{ub}|$ measurements

• Total rate known with $\sim 4\%$ accuracy, similar to $\mathcal{B}(B \to X_c \ell \bar{\nu})$

[Hoang, ZL, Manohar]

To remove the huge charm background $(|V_{cb}/V_{ub}|^2 \sim 100)$, need phase space cuts

Phase space cuts can enhance perturbative and nonperturbative corrections drastically



Nonperturbative effects shift endpoint $\frac{1}{2}m_b \rightarrow \frac{1}{2}m_B$ and determine shape

• Endpoint region determined by b quark PDF in B; want to extract it from data to make predictions — at lowest order $\propto B \rightarrow X_s \gamma$ photon spectrum [known since '94]





$|V_{ub}|$: lepton endpoint vs. $B o X_s \gamma$







with a model for *b* quark PDF













$|V_{ub}|$: lepton endpoint vs. $B o X_s \gamma$









• Both of these spectra are determined at lowest order by the b quark PDF in the B

2.5

1.5

2 2.5

2

 ${}^{1}E_{I}{}^{1.5}$

0.5

0

• Was no fully consistent formalism beyond lowest order, without ad hoc ingredients





4 4.5

 $3^{3.5}$ E_{γ} (GeV)

Past efforts: BLNP (best so far)

- Treated factorization & resummation in shape function region correctly
- Use specific (ad-hoc) functional forms to model shape function
- Shape function scheme for m_b , λ_1 (One scheme for each approach)
- Awkward "tail gluing" to make shape function's moments consistent with RGE (not even done in approaches other than BLNP)
 - \Rightarrow Hard to assess uncertainties



Figure 6: Various models for the shape function at the intermediate scale $\mu_i = 1.5 \text{ GeV}$, corresponding to different parameter settings in Table 1. Left: Functions S1, S5, S9 with "correlated" parameter variations. Right: Functions S3, S5, S7 with "anti-correlated" parameter variations.

[Bosch, Lange, Neubert, Paz]



Figure 7: Renormalization-group evolution of a model shape function from a low scale μ_0 (sharply peaked solid curve) to the intermediate scale μ_i (broad solid curve). See the text for an explanation of the other curves.





Start with $B o X_s \gamma$

[ZL, Stewart, Tackmann, PRD 78 (2008) 114014, arXiv:0807.1926]

Regions of phase space



• Include all known results in regions 1) - 2 (sometimes called SCET and MSOPE)

LL:	1–loop Γ_{cusp} ,	tree-level matching	
NLL:	2–loop $\Gamma_{\rm cusp}$,	1-loop matching,	1–loop γ_x

NNLL: 3–loop Γ_{cusp} , 2–loop matching, 2–loop γ_x





The shape function (b quark PDF in B)

• The shape function $S(\omega, \mu)$ contains nonperturbative physics and obeys a RGE If $S(\omega, \mu_{\Lambda})$ has exponentially small tail, any small running gives a long tail and divergent moments

$$S(\omega,\mu_i) = \int \mathrm{d}\omega' \, U_S(\omega-\omega',\mu_i,\mu_\Lambda) \, S(\omega',\mu_\Lambda)$$

Constraint: moments (OPE) + $B \rightarrow X_s \gamma$ shape How to combine these?







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- Consistent setup at any order, in any scheme
- Stable results for varying μ_{Λ} (SF modeling scale, must be part of uncert.)
- Analog of how all matrix elements are defined









[ZL, Stewart, Tackmann, 0807.1926]

Derive:

Schemes for m_b

• Converting results to a short distance mass scheme removes dip at small ω :



• Can use any short distance mass scheme (1S, kinetic, PS, shape function, ...)







• We find that kinetic scheme, $\mu_{\pi}^2 \equiv -\lambda_1^{\text{kin}}$, oversubtracts; similar to $\overline{m}_b(\overline{m}_b)$ issues



• Introduce "invisible" scheme: $\lambda_1^i = \lambda_1 - 0\alpha_s - R^2 \frac{\alpha_s^2(\mu)}{\pi^2} \frac{C_F C_A}{4} \left(\frac{\pi^2}{3} - 1\right)$ ($R = 1 \,\text{GeV}$)





Scale (in)dependence of $B o X_s \gamma$ spectrum

Dependence on 3 scales in the problem:



$$\frac{\mathrm{d}\Gamma_s}{\mathrm{d}p_X^+} = \Gamma_{0s} H_s(p_X^+, \mu_b) U_H(m_b, \mu_b, \mu_i) \int \mathrm{d}k \,\widehat{P}(m_b, k, \mu_i) \,\widehat{F}(p_X^+ - k) \qquad \left(p_X^+ = m_B - 2E_\gamma\right)$$

 \widehat{P},\widehat{F} indicate use of short distance schemes: m_b^{1S} and $\lambda_1^{ ext{i}}$

• In other approaches, using models for $S(\omega, \mu_{\Lambda})$ run up to μ_i , dependence on μ_{Λ} ignored so far, but it must be considered an uncertainty \Rightarrow This is how to solve it





Designer orthonormal functions

Devise suitable orthonormal basis functions 1 (earlier: fit parameters of model functions to data)_{0.5} $\widehat{F}(\lambda x) = \frac{1}{\lambda} \left[\sum c_n f_n(x) \right]^2$, *n* th moment $\propto \Lambda_{\text{QCD}}^n$ ⁰

 $f_n(x) \sim P_n[y(x)] \leftarrow \text{Legendre polynomials}$

Approximating a model shape function

Better to add a new term in an orthonormal basis than a new parameter to a model:

- less parameter correlations
- errors easier to quantify

"With four parameters I can fit an elephant, and with five I can make him wiggle his trunk." (John von Neumann)





Back to the $B o X_s \gamma$ spectrum

- 9 models w/ same 0th, 1st, 2nd moments
 Including all NNLL contributions, find:
 - Shape in peak region not determined at all by first few moments
 - Smaller shape function uncertainty for $E_{\gamma} \lesssim 2.1 \, {\rm GeV}$ than earlier studies



- Neglected in this plot: subleading shape functions subleading corrections not in $C_7^{\text{incl}}(0)$ boost to $\Upsilon(4S)$ frame
- These results can also be used for: $B \to X_s \ell^+ \ell^-$, $|V_{ub}|$





More complicated: $B o X_u \ell ar{ u}$

[ZL, Stewart, Tackmann, to appear]

Regions of phase space (again)

• "Natural" kinematic variables: $p_X^{\pm} = E_X \mp |\vec{p}_X|$ — "jettyness" of hadronic final state $B \to X_s \gamma$: $p_X^+ = m_B - 2E_\gamma$ & $p_X^- \equiv m_B$, but independent variables in $B \to X_u \ell \bar{\nu}$

Three cases: 1) $\Lambda \sim p_X^+ \ll p_X^-$ 2) $\Lambda \ll p_X^+ \ll p_X^-$ 3) $\Lambda \ll p_X^+ \sim p_X^-$

Make no assumptions how p_X^- compares to m_B^-

- $B \rightarrow X_s \gamma$: small rate in region 3), not important
- $B \rightarrow X_u \ell \bar{\nu}$: 3-body final state, appreciable rate in region 3), where hadronic final state not jet-like E.g., $m_X^2 < m_D^2$ does not imply $p_X^+ \ll p_X^-$



• Can combine 1)-2) just as we did in $B \to X_s \gamma$, by not expanding in Λ/p_X^+





Regions of phase space (aside)

• Main difference in $B \to X_s \ell^+ \ell^-$: only regions 1) – 2) are relevant for $q^2 < m_{\psi}^2$ The $q^2 > m_{\psi'}^2$ region is like large- q^2 in $B \to X_u \ell \bar{\nu}$

(More about this tomorrow)







Charmless $B o X_u \ell ar{ u}$ made charming

- To combine all 3 regions: do not expand in Λ/p_X^+ nor in p_X^+/p_X^-
- Want to have: result accurate to NNLL and $\Lambda_{\rm QCD}/m_b$ in regions 1)–2) and to order $\alpha_s^2\beta_0$ and $\Lambda_{\rm QCD}^2/m_b^2$ when phase space limits are in region 3)
- Hopefully we'll soon have a tool which can be used both to evaluate measurements and as a generator

Start with triple differential rate (involves a delta-fn at the parton level at $\mathcal{O}(\alpha_s^0)$, which is smeared by the shape function)

The p_X^+/p_X^- terms, which are not suppressed in local OPE region, start at $\mathcal{O}(\alpha_s)$ Recently completed $\mathcal{O}(\alpha_s^2)$ matching computations contains the needed results [Bonciani & Ferroglia, 0809.4687; Asatrian, Greub, Pecjak, 0810.0987; Beneke, Huber, Li, 0810.1230; Bell, 0810.5695]











[Bernlochner, Lacker, ZL, Stewart, Tackmann, Tackmann, to appear]

Fitting charmless inclusive decay spectra

• Fit strategy: $\widehat{F}(k)$ enters the spectra linearly \Rightarrow can calculate independently the contribution of $f_m f_n$ in the expansion of $\widehat{F}(k)$:

$$d\Gamma = \sum \underbrace{c_m c_n}_{\text{fit}} \underbrace{d\Gamma_{mn}}_{\text{compute}}$$
$$d\Gamma_{mn} = \Gamma_0 H(p^-) \int_0^{p_X^+} dk \frac{\widehat{P}(p^-, k)}{\lambda} \underbrace{f_m \left(\frac{p_X^+ - k}{\lambda}\right) f_n \left(\frac{p_X^+ - k}{\lambda}\right)}_{\text{basis functions}}$$

Fit the c_i coefficients from the measured (binned) spectra

- What we hope to achieve:
 - Correlation and error propagation of SF uncertainties
 - Simultaneous fit using all available information
 - Can add or remove parameters to estimate model uncertainties
 - Reduced correlations between model parameters (orthonormal basis)





A preliminary $B o X_s \gamma$ fit

- Belle $B \to X_s \gamma$ spectrum in $\Upsilon(4S)$ restframe For demonstration purposes only — there are very strong correlations
 - Fit with 4 basis functions in the expansion of the shape function
 - Shows that fit works (not as trivial as this plot might indicate); still issues to resolve
- Next step: include various $B \to X_u \ell \bar{\nu}$ measurements







Conclusions

- Improving accuracy of $|V_{ub}|$ will remain important to constrain new physics (Current situation unsettled, PDG in 2008 inflated error for the first time)
- Qualitatively better inclusive analyses possible than those implemented so far Developments will allow combining all pieces of data with tractable uncertainties
- To draw conclusions about new physics comparing sides and angles, we'll want ≥ 2 extractions of $|V_{ub}|$ with different uncertainties (inclusive, exclusive, leptonic)
- Don't give up inclusive... ability to do *B* reconstruction... hermeticity... important also for $B \to X_s \ell^+ \ell^-$, final states with τ 's and ν 's, etc.







Backup slides

The c.m. frame $B o X_s \gamma$ spectrum







Derivation of shape function formula

• The shape function is the *B* meson matrix element of a nonlocal operator:

$$S(\omega,\mu) = \langle B | \underbrace{\bar{b}_v \,\delta(iD_+ - \delta + \omega) \, b_v}_{O_0(\omega,\mu)} | B \rangle, \qquad \delta = m_B - m_b$$

Integrated over a large enough region, $0 \le \omega \le \Lambda$, one can expand O_0 as

$$O_0(\omega,\mu) = \sum C_n(\omega,\mu) \underbrace{\overline{b}_v (iD_+ - \delta)^n b_v}_{Q_n} + \ldots = \sum C_n(\omega - \delta,\mu) \underbrace{\overline{b}_v (iD_+)^n b_v}_{\widetilde{Q}_n} + \ldots$$

 C_n same for Q_n and \widetilde{Q}_n (since O_0 only depends on $\omega - \delta$), determined by matching

Evaluating $\langle O_0 \rangle_{b_v(k)}$, can show using RPI: $C_n(\omega, \mu) = \frac{1}{n!} \frac{\mathrm{d}^n C_0(\omega, \mu)}{\mathrm{d}\omega^n}$ [Bauer & Manohar]

• Define the nonperturbative function F(k) by:

 $S(\omega,\mu_{\Lambda}) = \int dk C_0(\omega-k,\mu_{\Lambda}) F(k), \qquad C_0(\omega,\mu) = \langle b_v | O_0(\omega+\delta,\mu) | b_v \rangle$

Expand in k, compare: $\int dk \, k^n F(k) = (-1)^n \langle Q_n \rangle_B$, so fully consistent with OPE



